

**THE GOVERNMENT OF SRI LANKA  
MINISTRY OF HIGHWAYS**

**KANDY MULTIMODAL TRANSPORT TERMINAL PROJECT (KMTT) –  
P172342**

**ENVIRONMENTAL and SOCIAL  
COMMITMENT PLAN (ESCP)**

**Updated 13 January 2022**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

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1. The Government of Sri Lanka *will be implementing* the Kandy Multimodal Transport Terminal Project (the **Project**), with the involvement of the Ministry of Highways. The *International Development Association (hereinafter the Bank/the Association)* has agreed to provide financing for the Project.
2. The Government of Sri Lanka will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out the material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. The Government of Sri Lanka will also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as Environmental and Social Management Plans (ESMP), Resettlement Action Plans (RAP), and Stakeholder Engagement Plans (SEP), and the timelines specified in those E&S documents.
4. The Government of Sri Lanka is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, referenced in 1. above.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the *Bank* by the Government of Sri Lanka as required by the ESCP and the conditions of the legal agreement, and the *Bank* will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed by the *Bank and* the Government of Sri Lanka, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Ministry of Highways will agree to the changes with the *Bank and* will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the *Bank and* the Ministry Highways. The Ministry of Highways will promptly disclose the updated ESCP.
7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Government of Sri Lanka shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts.

No.	Material Measures and Actions	Timeframe	Responsible Entity/Authority
<b>MONITORING AND REPORTING</b>			
<b>A</b>	<b>REGULAR REPORTING</b>		
	<ul style="list-style-type: none"> <li>Prepare &amp; submit to the Bank regular monitoring reports on the environmental, social, health and safety issues &amp; performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&amp;S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s).</li> </ul>	Every six months, within 15 days of the end of the six-month period on June 30 and December 31 every year.	Supervision Consultant, PMU E&S Staff, PD KMTT, Project Steering Committee
	<ul style="list-style-type: none"> <li>Ensure that adequate institutional arrangements, systems, resources and personnel are in place to carry out monitoring of E&amp;S issues</li> </ul>	Throughout project implementation	Ministry of Highway, PD KMTT
	<ul style="list-style-type: none"> <li>Engage stakeholders and third parties (independent experts, local communities, and NGOs) to complement or verify PMU's own monitoring activities.</li> </ul>	Every quarter, within 15 days of the end of each calendar quarter	PMU E&S Staff, IEM, Livelihood Restoration Consultant, Supervision consultant/ Contractor, LGRC, Project Steering Committee
	<ul style="list-style-type: none"> <li>Collaborate with other agencies and third parties who are responsible for managing specific risks and impacts and implementing mitigation measures to establish such mitigation measures.</li> </ul>	Throughout project implementation	PMU E&S Staff, IEM, Livelihood Restoration Consultant, Supervision consultant/ Contractor, LGRC, Project Steering Committee
	<ul style="list-style-type: none"> <li>Carry out E&amp;S audits every quarter during project implementation</li> </ul>	Every quarter, within 15 days of the end of each calendar quarter	PD KMTT, PMU E&S Staff
<b>B</b>	<b>INCIDENTS AND ACCIDENTS</b>		
	<ul style="list-style-type: none"> <li>Promptly notify the Bank of any incident, including GBV, or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers.</li> </ul>	Within 24 hours of the incident taking place	Supervision consultant, PD KMTT, PMU E&S Staff
	<ul style="list-style-type: none"> <li>Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate.</li> </ul>	Within 3 days of the incident/accident taking place	Supervision consultant/ Contractor
	<ul style="list-style-type: none"> <li>Prepare a report on the incident or accident and propose any measures to prevent its recurrence.</li> </ul>	Within 7 days of the incident/accident taking place	Supervision consultant/ Contractor
<b>C</b>	<b>CONTRACTOR'S MONTHLY REPORTS</b>		
	<ul style="list-style-type: none"> <li>Ensure that contractors submit their monthly performance reports, including implementation of the ESMP, to the PMU.</li> </ul>	Monthly, within 5 days of end of the month	Contractor through Supervision Consultant
	<ul style="list-style-type: none"> <li>Submit the contractor's monthly reports to the Bank, upon request.</li> </ul>	Upon request	PD KMTT, PMU E&S Staff
<b>ESS1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
<b>1.1</b>	<b>ORGANIZATIONAL STRUCTURE</b>		

No.	Material Measures and Actions	Timeframe	Responsible Entity/Authority
	<ul style="list-style-type: none"> <li>• Establish and maintain an organizational structure with qualified staff and resources to support management of E&amp;S risks and issues<sup>1</sup> comprising of:               <ul style="list-style-type: none"> <li>○ Resettlement Manager who will be appointed as PMU E&amp;S specialist to lead and coordinate the work of a dedicated Safeguard Unit comprising:                   <ul style="list-style-type: none"> <li>▪ Livelihood restoration manager</li> <li>▪ Resettlement officer</li> <li>▪ Citizen engagement officer</li> <li>▪ Social development officer responsible for information and communications, consultations and grievance handling</li> <li>▪ Monitoring and documentation officer</li> <li>▪ Management Assistant</li> </ul> </li> <li>○ Senior Safety, Health and Environment specialist</li> </ul> </li> </ul>	Within a month of project restructuring.	Ministry of Highways
<b>1.2</b>	<b>ENVIRONMENTAL AND SOCIAL ASSESSMENT</b>		
	<ul style="list-style-type: none"> <li>• Update, disclose and adopt the Environmental and Social Impact Assessment dated February 2020 based on proposed changes to scope and implementation arrangements through project restructuring</li> </ul>	ESIA shall be updated and disclosed by 15 <sup>th</sup> February 2022	PD KMTT, PMU E&S Staff
	<ul style="list-style-type: none"> <li>• Update, adopt, and implement, the Environmental and Social Management Plan that has been prepared for the Project in line with the finalized design, in a manner acceptable to the Bank.</li> </ul>	At finalization of detail civil works designs, and implement through civil works	PD KMTT, PMU E&S Staff
	<ul style="list-style-type: none"> <li>• Incorporate climate and disaster risks-related elements/measures into the project design.</li> </ul>	Finalization of detailed project design	Supervision Consultant, Contractor, PMU
	<ul style="list-style-type: none"> <li>• Carryout a crack survey to establish the baseline condition of structures in the buffer zone (100m radius from project boundary) prior to commencement of construction.</li> </ul>	Prior to commencement of civil works	Contractor
<b>1.3</b>	<b>MANAGEMENT TOOLS AND INSTRUMENTS</b>		
	<ul style="list-style-type: none"> <li>• Update and redisclose the final ESMP based on the final detail designs prepared by the contractor to meet ESF standards.</li> </ul>	Prior to commencement of civil works	PD KMTT, PMU E&S Staff
	<ul style="list-style-type: none"> <li>• Implement ESMP to manage project's environmental and social risks and impacts in accordance with the timeframes specified and review the status of implementation of ESCP as part of ESF monitoring and reporting.</li> </ul>	Throughout the construction period	PMU E&S Staff, Supervision Consultant, IEM
	<ul style="list-style-type: none"> <li>• Monitor and evaluate the implementation of the ESMP, including Contractor's ESMP.</li> </ul>	Monthly, within 5 days of end of month	PMU E&S Staff, Supervision Consultant
<b>1.4</b>	<b>MANAGEMENT OF CONTRACTORS</b>		
	<ul style="list-style-type: none"> <li>• Include relevant sections of the ESMP, SEP, LMP (that will fall under the purview of the contractor) in the bidding documents.</li> </ul>	Prior to tender publishing	PMU E&S Staff, Supervision Consultant

<sup>1</sup> All ESF instruments for KMTT were prepared under the Strategic Cities Development Project (SCDP). However, the E&S team of SCDP will provide support only up to project preparation stage, and a new PMU for this project will be established before effectiveness, and all the staff listed under action 1.1 will be hired.

No.	Material Measures and Actions	Timeframe	Responsible Entity/Authority
	<ul style="list-style-type: none"> <li>• Ensure the contractor submits to the PMU the following plans, in a form that is acceptable to the client and in line with the client's latest ESIA/ESMP               <ul style="list-style-type: none"> <li>○ Contractor's ESMP</li> <li>○ Waste Management and Disposal Plan</li> <li>○ Health and Safety Plan</li> <li>○ Road safety monitoring plan</li> <li>○ Traffic management plan</li> </ul> </li> </ul>	At finalization of detail designs prior to the contractor being mobilized at site and before commencement of any civil works	PD KMTT, PMU E&S Staff, Supervision Consultant
	<ul style="list-style-type: none"> <li>• Carry out regular monitoring to ensure that all contractors engaged on the project operate in a manner consistent with the requirements of the ESSs, including the specific requirements set out in the ESCP.</li> </ul>	Throughout the construction period	PD KMTT, PMU E&S Staff, Supervision Consultant
<b>ESS2: LABOR AND WORKING CONDITIONS</b>			
<b>2.1</b>	<b>LABOR MANAGEMENT PROCEDURES</b>		
	<ul style="list-style-type: none"> <li>• Implement the labor management procedures (LMP) prepared in accordance with the requirements of national law and ESS2, which clearly spells out the (i) terms and conditions of employment; (ii) measures to ensure nondiscrimination and equal opportunity; (iii) provisions to form workers' organizations; and (iv) prevention of child and forced labor</li> </ul>	Throughout the project period	PD KMTT, PMU E&S Staff, Supervision Consultant and Contractor
<b>2.2</b>	<b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b>		
	<ul style="list-style-type: none"> <li>• Establish, maintain, and operate a grievance redress mechanism for Project workers to raise workplace concerns, as described in the LMP and consistent with ESS2.</li> </ul>	<p>Information relating to GRM to be disseminated to project workers prior to commencement of any works.</p> <p>Effective GRM for workers maintained throughout project implementation.</p>	PD KMTT, PMU E&S Staff, Project specific GRC
<b>2.3</b>	<b>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</b>		
	<ul style="list-style-type: none"> <li>• Prepare, adopt, and implement a Health and Safety Plan as specified in the ESMP including emergency prevention and preparedness specified in 1.2 above.</li> </ul>	Prior to commencement of civil works and throughout project implementation	Contractor, PD KMTT, PMU E&S Staff, Supervision Consultant
<b>ESS3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
<b>3.1</b>	<ul style="list-style-type: none"> <li>• Prepare GHG emission assessment and mitigation plan for the construction phase.</li> </ul>	Prior to the commencement of the civil works contract	PD KMTT, PMU E&S Staff,
<b>3.2</b>	<ul style="list-style-type: none"> <li>• Develop measures to ensure KMTT is designed, constructed and operated with environmentally sound systems for solid/wastewater collection and disposal</li> </ul>	Design & construction stage	PD KMTT, PMU E&S Staff, Supervision Consultant and Contractor
<b>3.3</b>	<ul style="list-style-type: none"> <li>• Adopt and implement resource efficiency measures in design, construction and operation of the KMTT, as per green design criteria and measures outlined in the ESMP.</li> <li>• Obtain the Green Building Accreditation for KMTT from UDA. Ensure that final building designs and construction methods are aligned with accreditation criteria and that</li> </ul>	Throughout final design preparation and implementation	PD KMTT, PMU E&S Staff, Supervision Consultant and Contractor

No.	Material Measures and Actions	Timeframe	Responsible Entity/Authority
	application for accreditation be filed at the end of project closure		
<b>ESS4: COMMUNITY HEALTH AND SAFETY</b>			
<b>4.1</b>	<b>COMMUNITY HEALTH AND SAFETY</b>		
	<ul style="list-style-type: none"> <li>Recruit a health and safety expert to the contractor's team to obtain specialist guidance on managing anticipated safety risks throughout the construction and operational phases through appropriate designs, construction methods and operational mechanisms.</li> </ul>	With the commencement of the civil works contract	Contractor, Supervision Consultant and PMU
	<ul style="list-style-type: none"> <li>Evaluate the risks and impacts of the project on the health and safety of communities, and incorporate mitigation measures into the project specific Health and Safety Plan to avoid (i) safety risks causing from structural elements of the project and (ii) incremental risks of public's potential exposure to operational accidents or natural hazards, including extreme weather events.</li> </ul>	Prior to the commencement of the civil works contract	PD KMTT, PMU E&S Staff, Supervision Consultant and contractor
	<ul style="list-style-type: none"> <li>Prepare and implement an emergency response plan during construction and operation phases of the project.</li> </ul>	Prior to commencement of civil works	Contractor
	<ul style="list-style-type: none"> <li>Conduct independent reviews throughout the stages of project design, construction, operation, and decommissioning particularly when structural elements or components of a project area are situated in high-risk locations, including those with risk of extreme weather or slow onset events, and their failure or malfunction may threaten the safety of communities.</li> </ul>	During construction stage	PMU E&S Staff, Supervision Consultant and Contractor
	<ul style="list-style-type: none"> <li>Establish and implement appropriate quality management systems to anticipate and minimize risks and impacts of services provided by project on community health and safety.</li> </ul>	Throughout the project period	PMU E&S Staff, Supervision Consultant and contractor
	<ul style="list-style-type: none"> <li>Incorporate sufficient mitigation measures in the final ESMP to avoid health and safety risks on communities, including GBV risks, that may be caused from behavior of project workers, labor influx, and emergency situations.</li> </ul>	Prior to the commencement of the civil works	PMU E&S Staff, Supervision Consultant and contractor
	<ul style="list-style-type: none"> <li>Incorporate adequate mitigation measures into the ESMP to avoid or minimize the potential for community exposure to water-borne, water based, water-related, and vector-borne diseases and communicable and non-communicable diseases, that could result from project activities, taking into consideration the higher sensitivity of vulnerable groups.</li> </ul>	Prior to the commencement of the civil works	PMU E&S Staff, Supervision Consultant and contractor
<b>4.2</b>	<b>TRAFFIC AND ROAD SAFETY</b>		
	<ul style="list-style-type: none"> <li>Develop and implement a traffic management plan including technically and financially feasible road safety measures throughout project life cycle to avoid potential traffic and road safety risks to workers, communities, and road users.</li> </ul>	Prior to commencement of civil works	Contractor in consultation with the Kandy Traffic Committee, KMC, Traffic Police, PMU E&S Specialist, Project Engineer
<b>4.3</b>	<b>GENDER BASED VIOLENCE (GBV) AND SEXUAL EXPLOITATION AND ABUSE/ SEXUAL HARRASSMENT (SEA/SH)</b>		
	<ul style="list-style-type: none"> <li>Incorporate appropriate measures, based on GBV risk assessment as recommended in World Bank's Good Practice</li> </ul>	Prior to commencement of civil works	PD KMTT, PMU E&S Specialist, Procurement

No.	Material Measures and Actions	Timeframe	Responsible Entity/Authority
	Note, to both Contractor's ESMP and the contractual agreements.		Specialist, Supervision Consultant
<b>ESS5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
<b>5.1</b>	<b>RESETTLEMENT PLAN</b>		
	<ul style="list-style-type: none"> <li>Update the current RAP based on the findings of the RAP audit and after the final engineering design is available</li> <li>Submit the updated RAP for World Bank review and clearance, translate the updated RAP into local languages and redisclose it (see below for re-disclosure requirements)</li> </ul>	<p>Within 3 months period once the engineering design is finalized</p> <p>Ensure impacted person(s) compensated prior to commencement of civil works</p>	PD KMTT, PMU E&S Staff with assistance from outsourced expert
	<ul style="list-style-type: none"> <li>Translate the updated RAP into local languages and disclose in the official website of the Ministry of Highways, KMTT site office, and other relevant public domain accessible to project affected parties and other stakeholders.</li> </ul>	Within 1 month once the instrument is cleared by the World Bank	PMU E&S Specialist
<b>5.2</b>	<b>COMMUNITY ENGAGEMENT</b>		
	<ul style="list-style-type: none"> <li>Engage all project affected parties in continuous consultations and disclose relevant information in decision-making processes related to their resettlement and relocation, livelihood restoration, and monitoring and evaluation.</li> </ul>	Extend the existing process throughout the project period	PD KMTT, PMU E&S Staff LR consultant, Livelihood Restoration consultant
<b>5.3</b>	<b>COMPENSATION AND BENEFITS FOR AFFECTED PERSONS</b>		
	<ul style="list-style-type: none"> <li>Monitor RAP implementation to ensure that all project affected parties are paid compensation at replacement cost and provided with any other assistance to help them improve or at least restore their standards of living or livelihoods.</li> </ul>	Compensation provided prior to possession of site and continued monitoring throughout project implementation.	PD KMTT, PMU E&S Staff LR consultant, EAC, IEM, Project Steering Committee
	<ul style="list-style-type: none"> <li>Strengthen the livelihood restoration program implemented for displaced persons with continuous support, training, linkages to resource institutions and monitoring and ensure that displaced persons have restored and improved their livelihood activities.</li> </ul>	NGO hired and initiated restoration activities in February 2019 under SCDP and continued up to Dec 2021. Livelihood Restoration to be responsibility of social team which will include a Resettlement/ Livelihood specialist.	PD KMTT, PMU E&S Staff LR consultant, EAC, IEM, Project Steering Committee
<b>5.4</b>	<b>GRIEVANCE REDRESS MECHANISM (see under ESS10)</b>		
<b>ESS6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
	<ul style="list-style-type: none"> <li>Develop and adopt appropriate measures in the ESMP to prevent contamination of the Meda Ela from the construction of the proposed infrastructure.</li> </ul>	Prior to commencement of civil works	PD KMTT, PMU E&S Staff, Supervision Consultant, Contractor
<b>ESS7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
	<i>Not relevant</i>		
<b>ESS8: CULTURAL HERITAGE</b>			
	<ul style="list-style-type: none"> <li>Implement the chance find procedures in the ESMP for chance find archaeological objects encountered during construction with expert recommendations from the Department of Archaeology as necessary.</li> </ul>	During construction period	PD KMTT, PMU E&S Staff, Supervision Consultant, Contractor
<b>ESS9: FINANCIAL INTERMEDIARIES</b>			

No.	Material Measures and Actions	Timeframe	Responsible Entity/Authority
	<i>Not relevant</i>		
<b>ESS10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
<b>10.1</b>	<b>STAKEHOLDER ENGAGEMENT PLAN (SEP) PREPARATION AND IMPLEMENTATION</b>		
	<ul style="list-style-type: none"> <li>Update and re-disclose the SEP to reflect proposed changes to project implementation through project restructuring with time frames and methods of engagement</li> </ul>	Included with restructuring documents	PD KMTT, PMU E&S Staff
	<ul style="list-style-type: none"> <li>Implement the SEP and engage with identified stakeholders (project affected parties, other interested parties, and vulnerable groups) throughout the project life cycle in meaningful consultation conducted in a culturally appropriate manner, and provide with timely, relevant, understandable and accessible information</li> </ul>	Continuous through project implementation	PMU E&S Staff
	<ul style="list-style-type: none"> <li>Maintain and disclose records of stakeholder engagement, including a description of the stakeholders consulted, a summary of the feedback received and a brief explanation of how the feedback was taken into account, or the reasons why it was not.</li> </ul>	Continuous through project implementation	PMU E&S Staff
	<ul style="list-style-type: none"> <li>Submit bi-annual report to the Bank on SEP implementation and publicly disclosed in-country.</li> </ul>	Every six months, within 15 days of the end of the six-month period	PD KMTT, PMU E&S Staff
<b>10.2</b>	<b>GRIEVANCE REDRESS MECHANISM</b>		
	<ul style="list-style-type: none"> <li>Assess, adapt &amp; strengthen, as needed, the existing Grievance Redress Mechanism (GRM) established for KMTT within SCDP with efficient institutional arrangements, resource allocations, grievance reporting, recording and tracking systems, and awareness-raising programs and in compliance with ESS10.</li> </ul>	Within 1 month of RDA established as PMU and continued monitoring throughout project implementation.	PD KMTT, PMU E&S Staff
	<ul style="list-style-type: none"> <li>Establish a focal point (e.g. existing information center and contractor's office) closer to the KMTT construction site to receive and register public grievances and complaints.</li> </ul>	Within 1 month of RDA established as PMU	PD KMTT, PMU E&S Staff, SDO responsible for information and communications, consultations and grievance handling
	<ul style="list-style-type: none"> <li>Monitor the grievances registered to ensure that all grievances reported including those outstanding are resolved and that decision-making processes demonstrate transparency, accountability, and efficiency and that grievance redress processes are conducted in a culturally appropriate manner.</li> </ul>	As per the service standards of GRC mechanism.	PD KMTT, PMU E&S Staff, relevant levels of the GRM
<b>CAPACITY SUPPORT (TRAINING)</b>			
<b>CS1</b>	<ul style="list-style-type: none"> <li>Provide training to KMTT environmental and social staff on (i) environmental and social standards and management procedures as per ESF; (ii) preparation of environmental and social management plans (ESMP); (iii) environmental and social risks and impacts monitoring; and (iv) record-keeping, documentation and reporting</li> </ul>	Repeated as needed.	PD KMTT, PMU E&S Staff, World Bank
	<ul style="list-style-type: none"> <li>Provide training to contractors and project workers on (i) occupational health and safety (OHS); (ii) labor management procedures (LMP); (iii) emergency prevention</li> </ul>	At contract mobilization and repeated during	PMU E&S Staff, Supervision Consultant, Contractor



No.	Material Measures and Actions	Timeframe	Responsible Entity/Authority
	and preparedness and response arrangements to emergency situations; and (iv) prevention of gender-based violence and sexual exploitation and abuse (v) Chance find procedures for archaeological assets	project implementation as needed.	